

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: University of Southampton

Application No./Patent No./Control No.: 10/575,199 Filed/Issue Date: 10 APR. 2006

Entitled: SYNTHESIS OF GERMANIUM SULPHIDE AND RELATED COMPOUNDS

University of Southampton, a university
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature] 14th June 2006
Signature Date
Mylene Ployaert +442380 593095
Printed or Typed Name Telephone Number
Assistant Director
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT ASSIGNMENT

PARTIES TO THE ASSIGNMENT:

Inventors:

John V. BADDING

Department of Chemistry

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United States of America (USA)

Daniel William HEWAK

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University of Southampton

Highfield, Southampton

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GREAT BRITAIN (GB)

Chung-Che HUANG

c/o Centre for Enterprise & Innovation

University of Southampton

Highfield, Southampton

Hampshire SO17 1BJ

GREAT BRITAIN (GB)

(hereinafter, "INVENTORS"), and

University of Southampton

Highfield, Southampton

Hampshire SO17 1BJ

GREAT BRITAIN (GB)

(hereinafter, "ASSIGNEE"),

1 **BACKGROUND OF THIS ASSIGNMENT:**

2 WHEREAS, the INVENTORS have conceived certain new and useful
3 inventions disclosed United States Patent Application Serial No. 10/575,199, filed on
4 April 10, 2006 as a U.S. National Stage filing of PCT Patent Application No.
5 PCT/GB2004/004293, and entitled, "SYNTHESIS OF GERMANIUM SULPHIDE
6 AND RELATED COMPOUNDS". And,

7 WHEREAS, the INVENTORS, for good and valuable consideration, the
8 sufficiency and previous receipt of which is hereby acknowledged, have assigned all
9 right, title and interest in and to such inventions to ASSIGNEE. And,

10 WHEREAS, ASSIGNEE desires to secure clear title to such inventions, as
11 well as the entire right, title and interest in said inventions and with respect to any
12 Letters Patent that may be granted with respect to the inventions in the United
13 Kingdom, the United States of America, and in all countries foreign thereto.

14
15 **NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:**

16 In consideration of the good and valuable consideration, the sufficiency and
17 receipt of which is hereby acknowledged by INVENTORS, INVENTORS have sold,
18 assigned and transferred to ASSIGNEE the full and exclusive right to said inventions
19 in the United Kingdom, the United States, and in countries foreign thereto, including
20 any continuation patent applications based thereon, any continuation-in-part patent
21 applications based thereon, any divisional patent applications based thereon, and/or
22 any reissue patent applications based thereon.

23 INVENTORS hereby authorize the United States Commissioner of Patents
24 and Trademarks to issue such Letters Patent to ASSIGNEE for the sole use of
25 ASSIGNEE, its successors or assigns.

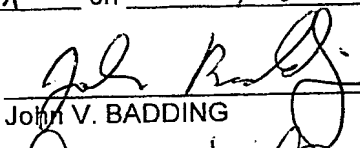
INVENTORS further agree to execute, at the request and expense of
ASSIGNEE, such other formal documents as may be required to fully convey the
interests transferred herein, and will similarly execute any applications papers
required for the filing of any division, continuation or reissue of the patent application

or resulting Letters Patent; and will generally do everything necessary or desirable to obtain and enforce proper protection for the invention assigned hereby.

Signed and acknowledged by the parties:

INVENTORS:

Signed at State College, PA on May 2, 2006



John V. BADDING

In the presence of (signature) _____

Name (print) Adrian L. Weaver

Date: May 2, 2006

Signed at _____ on _____, 2006

Daniel William HEWAK

In the presence of (signature) _____

Name (print) _____

Date: _____, 2006

or resulting Letters Patent; and will generally do everything necessary or desirable to obtain and enforce proper protection for the invention assigned hereby.

Signed and acknowledged by the parties:

INVENTORS:

Signed at _____ on _____, 2006

John V. BADDING

In the presence of (signature) _____

Name (print) _____

Date: _____, 2006

Signed at Southampton on 26 April, 2006


Daniel William HEWAK

In the presence of (signature) 

Name (print) MAJID HASSAN

Date: 25/04/06, 2006

Signed at Southampton on 07 April, 2006

Ching-che Huang
Chung-che HUANG

In the presence of (signature) L Keene

Name (print) Laura Keene

Date: 7-4-2006, 2006

ASSIGNEE

For and on behalf of the University of Southampton

Signed at Southampton on _____, 2006

Mylene Ployaert

Title: Assistant Director

In the presence of (signature) _____

Name (print) _____

Date: _____, 2006.

Signed at _____ on _____, 2006

Chung-Che HUANG

In the presence of (signature) _____

Name (print) _____

Date: _____, 2006

ASSIGNEE

For and on behalf of the University of Southampton

Signed at Southampton on 5th April, 2006

Mylene Ployaert

Title: Assistant Director

In the presence of (signature) V. Sevant

Name (print) Victoria Sevant

Date: 5th April, 2006.